

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

T. Konuma, et al.

: Art Unit:

Serial No.:

TO BE ASSIGNED

: Examiner:

Filed:

**HEREWITH** 

.

For:

METHOD AND APPARATUS

FOR SPEECH RECOGNITION

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231 SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The Japanese References attached are not in the English language, but an English abstract thereof is attached to the copy of the reference submitted herewith.

This Information Disclosure Statement is being filed with the above-referenced application. No fee or certification is required. 37 C.F.R. \$1.97(b).

Respectfully submitted,

Lawrence E. Ashery, Reg. No. 34,515

Attorney for Applicants

**Enclosures:** 

PTO Form 1449 Copy of (5) References

Dated: January 17, 2002 P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication. **EXPRESS MAIL** Mailing Label Number: EL 743541121 US Date of Deposit: January 17, 2002

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kathleen Libby

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#### FORM PTO-1449

			Sh	eet <u>1</u> of <u>1</u>
FORM PTO-1449	U.S. DEPARTMENT OF	ATTY. DOCKET NO.	SERIAL NO	2
(Rev. 2-32)	COMMERCE PATENT AND TRADEMARK OFFICE	MAT-8220US	TO BE ASSIGNED	052145
Information Disclosure Statement by Applicant (Use several sheets if necessary)		APPLICANT		
		T. Konuma, et al.		
		FILING DATE	GROUP	
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### **U.S. PATENT DOCUMENTS**

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
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# FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Date Number		Country	Class	Sub Class	Translation YES   NO
	JP 09081185	03/28/1997	Japan (with English Abstract enclosed)			
	JP 11045097	02/16/1999	Japan (with English Abstract enclosed)			
	JP 08006588	01/12/1996	Japan (with English Abstract enclosed)			
	JP 10254496	09/25/1998	Japan (with English Abstract enclosed)			
		<u></u>				<u> </u>

### **OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

	1.	Publication - Data Driven Search Organization for Continuous Speech Recognition

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.